

**EXHIBIT D  
SHORT FORM**

Certification and Agreement of Persons Surrendering International GDSs  
for the Purpose of Withdrawal of Shares  
Pursuant to the International Deposit Agreement

Citibank, N.A.  
Depository Receipts Department  
388 Greenwich Street, 14th Floor  
New York, New York 10013

**Re: SHIN KONG FINANCIAL HOLDING CO., LTD.**

We refer to the International Deposit Agreement, dated as of July 27, 2009 (as amended from time to time, the "International Deposit Agreement"), among Shin Kong Financial Holding Co., Ltd. (the "Company"), CITIBANK, N.A., as Depository thereunder, and the Holders and Beneficial Owners from time to time of International Global Depository Shares (the "International GDSs") evidenced by International Global Depository Receipts (the "International GDRs") issued thereunder. Capitalized terms used but not defined herein shall have the meanings given them in the International Deposit Agreement. We are providing the information herein to enable the Company to comply with its reporting obligations under the laws and regulations of the Republic of China and understand that the Company will rely upon the information provided herein for such purpose.

1. We are surrendering International GDSs evidenced by International GDR(s) and giving withdrawal instructions in accordance with the terms of the International Deposit Agreement for the purpose of withdrawal of the Shares represented by the International GDSs evidenced by such International GDR(s) pursuant to the International Deposit Agreement.

2. We agree (or if we are acting for the account of another person, such person has confirmed to us that it agrees) to comply with all requests from the Company pursuant to ROC laws or regulations, the rules and requirements of the TSE, any other stock exchange on which the International GDSs or the Shares are, or may be, registered, traded or listed, and the Articles of Incorporation and Bylaws of the Company, which are made to provide information, inter alia, as to the capacity in which we (or our client) hold(s) or own(s) the International GDSs or the Shares represented thereby and regarding the identity of any other person then or previously interested in such International GDSs or Shares represented thereby, the nature of such interest and various related matters, whether or not we (they) are holders and/or beneficial owners of International GDSs or Shares represented thereby at the time of such request.

3. We certify (or if we are acting for the account of another person, such person has confirmed to us that it certifies) that we are (it is) not a "Related Person" of the Company (as defined below).

---

**PLEASE NOTE THAT IF YOU CANNOT CERTIFY (OR IF YOU ARE ACTING FOR THE ACCOUNT OF ANOTHER PERSON, SUCH PERSON HAS CONFIRMED TO YOU THAT IT CANNOT CERTIFY) THAT YOU ARE (IT IS) NOT A "RELATED PERSON" OF THE COMPANY (AS DEFINED BELOW) AS SET FORTH IN PARAGRAPH 3 ABOVE AND YOU (IT) WISH(ES) TO SURRENDER INTERNATIONAL GDSs OR GIVE WITHDRAWAL INSTRUCTIONS FOR THE PURPOSE OF WITHDRAWAL OF THE SHARES PURSUANT TO THE INTERNATIONAL DEPOSIT AGREEMENT, YOU (IT) WILL NOT BE ABLE TO SURRENDER INTERNATIONAL GDSs IN ORDER TO WITHDRAW SHARES (OR GIVE INSTRUCTIONS TO DO SO) USING THIS FORM OF WITHDRAWAL CERTIFICATION AND INSTEAD, YOU (IT) MUST COMPLETE AND DELIVER TO THE DEPOSITARY THE LONG FORM OF WITHDRAWAL CERTIFICATION THAT CAN BE FOUND ON THE DEPOSITARY'S WEBSITE.**

---

Date: \_\_\_\_\_

Very truly yours,

**[NAME OF CERTIFYING ENTITY]**

By:  
Name  
Title:

---

A person or entity is deemed to be a "Related Person" of the Company if the person or entity is:

- (a) (i) a company of which the chairman of the board of directors or the general manager serves as the chairman of the board of directors or the general manager of the Company, or is the spouse or a relative by blood or marriage to the chairman of the board of directors or general manager of the Company within the second degree (as defined under the Civil Code of the Republic of China);
- (ii) a non-profit organization of which the funds donated from the Company exceeds one-third of the non-profit organization's total funds;
- (iii) a director, supervisor or general manager, vice-general manager, assistant general manager, or departmental head of the Company reporting to the general manager of the Company;
- (iv) the spouse of a director, supervisor or general manager of the Company; or

**Error! Unknown document property name.**

- (v) a relative by blood or marriage to the Company's chairman of the board of directors or general manager within the second degree (as defined under the Civil Code of the Republic of China).

or

- (b) A person or entity in which the Company has invested, which investment is accounted for by the equity method of accounting under generally accepted accounting principles in the Republic of China (the "Equity Method"), or a person or entity which uses the Equity Method to account for an investment in the Company.