



## Books Close/Open Notice

November 23, 2016

---

**Company Name:** CaixaBank SA

---

**Ticker Symbol:** CAIXY  
**CUSIP Number:** 12803K109  
**US ISIN:** US12803K1097  
**Country:** Spain  
**Listing Exchange:** OTC  
**Sponsorship Level:** Unsponsored ADR Program  
**Ratio (ORD:DR):** 1:3  
**Books Closed Date:** Close of business November 23, 2016  
**Books Open Date:** Close of business December 2, 2016  
**For:** Issuance and Cancellation  
**Reason:** DIVIDEND DATE RECONCILIATION

### Depository Receipt Services

#### Contact Capital Markets Solutions

##### New York

Michael O'Leary  
[michael.oleary@citi.com](mailto:michael.oleary@citi.com)

##### New York

Jason Zoppel  
[jason.zoppel@citi.com](mailto:jason.zoppel@citi.com)

##### London

Michael Woods  
[michael.woods@citi.com](mailto:michael.woods@citi.com)

Group Email  
[citiadr@citi.com](mailto:citiadr@citi.com)

**Bloomberg: ADRC <GO>**

For further information about Citi's Depository Receipt Services, visit [www.citi.com/dr](http://www.citi.com/dr).