



## Books Close/Open Notice

September 5, 2014 (amended September 8, 2014)

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**Company Name:** China Galaxy Securities Co. Ltd.

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**Ticker Symbol:** CGXYY  
**CUSIP Number:** 16891L101  
**US ISIN:** US16891L1017  
**Country:** China-Test  
**Listing Exchange:** OTC  
**Sponsorship Level:** Un-sponsored ADR Program  
**Ratio (ORD:DR):** 25:1  
**Books Closed Date:** September 5, 2014  
**Books Open Date:** Close of business September 8, 2014  
**For:** Issuance  
**Reason:** 12G3-2(B) EXEMPTION STATUS

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