



## Books Close/Open Notice

January 19, 2022 (amended February 22, 2022)

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**Company Name:** CITIC Securities Co. Ltd.

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**Ticker Symbol:** CIIHY  
**CUSIP Number:** 17290L106  
**US ISIN:** US17290L1061  
**Country:** China-Test  
**Listing Exchange:** OTC  
**Sponsorship Level:** Unsponsored ADR Program  
**Ratio (ORD:DR):** 10:1  
**Books Closed Date:** Close of business January 26, 2022  
**Books Open Date:** Close of business February 28, 2022  
**For:** Issuance and Cancellation  
**Reason:** CORPORATE ACTION

### Depository Receipt Services

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