



Books Close/Open Notice

November 14, 2019

| | |
|----------------------|-----------------------------------|
| Company Name: | Huatai Securities Co., Ltd |
|----------------------|-----------------------------------|

| | |
|---------------------------|-------------------------------------|
| Ticker Symbol: | HTSC LI |
| CUSIP Number: | 44331T104 |
| US ISIN: | US44331T1043 |
| Country: | China-Test |
| Listing Exchange: | LSE |
| Sponsorship Level: | Sponsored GDR - Reg S Program |
| Ratio (ORD:DR): | 10:1 |
| Books Closed Date: | Close of business November 26, 2019 |
| Books Open Date: | Close of business December 4, 2019 |
| For: | Cancellation |
| Reason: | OTHER |

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 16, 2019 FROM THE CLOSE OF BUSINESS NOVEMBER 26, 2019 THROUGH THE CLOSE OF BUSINESS DECEMBER 4, 2019.

Depository Receipt Services

Contact Capital Markets Solutions

New York

Michael O'Leary
michael.oleary@citi.com

New York

Jason Zoppel
jason.zoppel@citi.com

London

Michael Woods
michael.woods@citi.com

Group Email
citiadr@citi.com

Bloomberg: ADRC <GO>

For further information about Citi's Depository Receipt Services, visit www.citi.com/dr.