



## Books Close/Open Notice

November 14, 2019

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<b>Company Name:</b>	<b>Huatai Securities Co., Ltd</b>
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<b>Ticker Symbol:</b>	HTSC LI
<b>CUSIP Number:</b>	44331T104
<b>US ISIN:</b>	US44331T1043
<b>Country:</b>	China-Test
<b>Listing Exchange:</b>	LSE
<b>Sponsorship Level:</b>	Sponsored GDR - Reg S Program
<b>Ratio (ORD:DR):</b>	10:1
<b>Books Closed Date:</b>	Close of business November 26, 2019
<b>Books Open Date:</b>	Close of business December 4, 2019
<b>For:</b>	Cancellation
<b>Reason:</b>	OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 16, 2019 FROM THE CLOSE OF BUSINESS NOVEMBER 26, 2019 THROUGH THE CLOSE OF BUSINESS DECEMBER 4, 2019.

### Depository Receipt Services

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