



Books Close/Open Notice

November 14, 2019

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China-Test
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business November 26, 2019
Books Open Date: Close of business December 4, 2019
For: Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 16, 2019 FROM THE CLOSE OF BUSINESS NOVEMBER 26, 2019 THROUGH THE CLOSE OF BUSINESS DECEMBER 4, 2019.

Depository Receipt Services

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