



Books Close/Open Notice

May 15, 2020

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China-Test
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business May 29, 2020
Books Open Date: Close of business June 8, 2020
For: Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING ANNUAL GENERAL MEETING OF SHAREHOLDERS TO BE HELD ON JUNE 18, 2020 FROM THE CLOSE OF BUSINESS MAY 29, 2020 THROUGH THE CLOSE OF BUSINESS JUNE 8, 2020.

Depository Receipt Services

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