



Books Close/Open Notice

January 13, 2021

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China-Test
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business January 20, 2021
Books Open Date: Close of business January 28, 2021
For: Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON FEBRUARY 8, 2021 FROM THE CLOSE OF BUSINESS JANUARY 20, 2021 THROUGH THE CLOSE OF BUSINESS JANUARY 28, 2021.

Depository Receipt Services

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