



Books Close/Open Notice

May 26, 2021

Company Name: Huatai Securities Co., Ltd

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China-Test

Listing Exchange: LSE

Sponsorship Level: Sponsored GDR - Reg S Program

Ratio (ORD:DR): 10:1

Books Closed Date: Close of business June 1, 2021 **Books Open Date:** Close of business June 9, 2021

For: Cancellation Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING ANNUAL GENERAL MEETING TO BE HELD ON JUNE 22, 2021 FROM THE CLOSE OF BUSINESS JUNE 1, 2021 THROUGH THE CLOSE OF BUSINESS JUNE 9, 2021.

Depositary Receipt Services

Contact Capital Markets Solutions

New York New York London

Michael O'LearyJason ZoppelMichael WoodsGroup Emailmichael.oleary@citi.comjason.zoppel@citi.commichael.woods@citi.comcitiadr@citi.com

Bloomberg: ADRC <GO>

For further information about Citi's Depositary Receipt Services, visit www.citi.com/dr.

© 2025 Citibank, N.A. All rights reserved. Citi and Arc Design and Citibank are trademarks and service marks of Citigroup Inc. or its affiliates, used and registered throughout the world. The above information is being provided solely for information purposes by Citi. At the time of publication, this information was believed to be accurate, but Citi makes no representation or warranty as to correctness of the information set forth above. The above information does not constitute a recommendation, solicitation or offer by Citi for the purchase or sale of any securities, nor shall this material be construed in any way as investment or legal advice or a recommendation, reference or endorsement by Citi.