



Books Close/Open Notice

December 6, 2022

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China-Test
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business December 12, 2022
Books Open Date: Close of business December 20, 2022
For: Issuance and Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR ISSUANCE AND CANCELLATION IN
CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING
TO BE HELD ON DECEMBER 30, 2022 FROM CLOSE OF BUSINESS
DECEMBER 12, 2022 THROUGH THE CLOSE OF BUSINESS DECEMBER 20, 2022

Depository Receipt Services

Contact Capital Markets Solutions

New York

Michael O'Leary

michael.oleary@citi.com

New York

Jason Zoppel

jason.zoppel@citi.com

London

Michael Woods

michael.woods@citi.com

Group Email

citiadr@citi.com

Bloomberg: ADRC <GO>

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