



Books Close/Open Notice

December 6, 2022

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China-Test
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business December 12, 2022
Books Open Date: Close of business December 20, 2022
For: Issuance and Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR ISSUANCE AND CANCELLATION IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 30, 2022 FROM CLOSE OF BUSINESS DECEMBER 12, 2022 THROUGH THE CLOSE OF BUSINESS DECEMBER 20, 2022

Depository Receipt Services

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