



## Books Close/Open Notice

May 31, 2023

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**Company Name:** **Huatai Securities Co., Ltd**

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**Ticker Symbol:** HTSC LI  
**CUSIP Number:** 44331T104  
**US ISIN:** US44331T1043  
**Country:** China-Test  
**Listing Exchange:** LSE  
**Sponsorship Level:** Sponsored GDR - Reg S Program  
**Ratio (ORD:DR):** 10:1  
**Books Closed Date:** Close of business June 9, 2023  
**Books Open Date:** Close of business June 16, 2023  
**For:** Issuance and Cancellation  
**Reason:** OTHER

THE BOOKS WILL BE CLOSED FOR ISSUANCE AND CANCELLATION IN CONNECTION WITH THE UPCOMING ANNUAL GENERAL MEETING TO BE HELD ON JUNE 30, 2023 FROM CLOSE OF BUSINESS JUNE 9, 2023 THROUGH THE CLOSE OF BUSINESS JUNE 16, 2023.

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#### Contact Capital Markets Solutions

##### New York

Michael O'Leary  
[michael.oleary@citi.com](mailto:michael.oleary@citi.com)

##### New York

Jason Zoppel  
[jason.zoppel@citi.com](mailto:jason.zoppel@citi.com)

##### London

Michael Woods  
[michael.woods@citi.com](mailto:michael.woods@citi.com)

Group Email  
[citiadr@citi.com](mailto:citiadr@citi.com)

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