



## Books Close/Open Notice

September 12, 2017 (amended October 10, 2017)

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**Company Name:** Sumitomo Heavy Industries, Ltd.

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**Ticker Symbol:** SOHVV  
**CUSIP Number:** 865620108  
**US ISIN:** US8656201089  
**Country:** Japan  
**Listing Exchange:** OTC  
**Sponsorship Level:** Unsponsored ADR Program  
**Ratio (ORD:DR):** 1:4  
**Books Closed Date:** Close of business September 28, 2017  
**Books Open Date:** Close of business October 24, 2017  
**For:** Cancellation  
**Reason:** CORPORATE ACTION

### Depository Receipt Services

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